



COMPUTATIONAL CHEMISTRY DAY 2019  
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Faculty of Pharmacy and Biochemistry, University of Zagreb



## Computational chemistry today, or how to obtain accurate numbers with minimum effort

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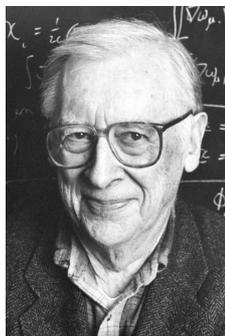
### SOME OF THE KEY MOMENTS IN EARLY HISTORY OF COMPUTATIONAL CHEMISTRY

- 1926 Schrödinger equation (WFT)
- 1927 Heitler and London: first calculations in theoretical chemistry
- 1927 Thomas–Fermi method (first DFT)
- 1935 Pauling and Wilson: *Introduction to Quantum Mechanics – with Applications to Chemistry*
- 1944 Eyring, Walter, Kimball: *Quantum Chemistry*
- 1952 Coulson: *Valence*
- 1964 Hohenberg–Kohn theorem(s)
- 1965 Kohn–Sham equations: the beginning of modern DFT
- 1965 Wiberg: first general molecular mechanics (MM) program
- 1970 Pople (Carnegie Mellon University): Gaussian 70 (HF calculations)
- 1976 Allinger: MM1 and (in 1977) MM2 force fields
- 1980 *Journal of Computational Chemistry*
- 1987 Gaussian Inc.
- 1990s First modern functionals (GGA, mGGA, hybrid functionals)
- 1992 Gaussian 92/DFT

### FIRST NOBEL PRIZE FOR COMPUTATIONAL CHEMISTRY (1998)



Walter Kohn



John A. Pople

The Nobel Prize in Chemistry 1998 was divided equally between Walter Kohn "for his development of the density-functional theory" and John A. Pople "for his development of computational methods in quantum chemistry."

### SECOND NOBEL PRIZE FOR COMPUTATIONAL CHEMISTRY (2013)



Martin Karplus



Michael Levitt



Arieh Warshel

The Nobel Prize in Chemistry 2013 was awarded jointly to Martin Karplus, Michael Levitt and Arieh Warshel "for the development of multiscale models for complex chemical systems".

## LEVEL OF THEORY (MODEL CHEMISTRY)

*Ab initio* (WFT), based on wave function (generally complex function of  $4N$  variables)

- Hartree-Fock (HF) – wave function is (Slater) determinant composed of spin-orbitals

- post-Hartree-Fock (MPn, CI, CC) – wf is linear combination of Slater determinants

Density functional theory (DFT), based on electron density (real function of 3 variables)

In both cases, one-electron functions (orbitals) are used to facilitate the calculations. Orbitals are approximated by linear combination of simpler functions, elements basis sets. If basis set is complete (infinite number of basis functions), the relationship is exact.

Since we use noninfinite basis sets, various errors occur: BSSE, BSIE, ...

People's designation of level of theory (model chemistry) consists of method and basis set:

METHOD/BASIS SET

Often higher level of theory is used for the energy calculation following the geometry optimization:

METHOD-2/BASIS SET-2//METHOD-1/BASIS SET-1

### Popular Theoretical Methods Predict Benzene and Arenes To Be Nonplanar

Damian Moran,<sup>\*,†,‡</sup> Andrew C. Simmonett,<sup>†</sup> Franklin E. Leach III,<sup>†</sup> Wesley D. Allen,<sup>\*,†</sup>  
Paul v. R. Schleyer,<sup>\*,†</sup> and Henry F. Schaefer III<sup>†</sup>

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School of Chemistry, University of Sydney, Sydney, NSW 2006, Australia

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Remarkably, *ab initio* computations on benzene (**1**) at electron-correlated MP2, MP3, CISD, and CCSD levels using a number of popular basis sets<sup>1</sup> give anomalous, nonplanar equilibrium structures. For example, planar ( $D_{6h}$ ) benzene has at least one sizable imaginary vibrational frequency at MP2/6-311G ( $723i$  cm<sup>-1</sup>,  $b_{2g}$ ), MP2/6-311++G ( $1844i$  cm<sup>-1</sup>,  $b_{2g}$ ;  $462i$  cm<sup>-1</sup>,  $e_{2g}$ ), MP3/6-31++G-(d,p) ( $862i$  cm<sup>-1</sup>,  $b_{2g}$ ), CISD/6-311G ( $190i$  cm<sup>-1</sup>,  $b_{2g}$ ), and CISD/6-311++G(d,p) ( $511i$  cm<sup>-1</sup>,  $b_{2g}$ ). Similar unexpected results at the same levels of theory are found for other planar aromatic molecules: naphthalene (**2**), the cyclopentadienyl (**3**) and indenyl anions (**4**), the tropylium cation (**5**), anthracene (**6**), and pyridine (**7**) (Figure 1). In contrast, RHF, BLYP, and B3LYP computations<sup>1</sup> with the same basis sets exclusively yield real frequencies for **1–7**.

Extensive frequency tabulations at numerous levels of theory are provided as Supporting Information. These anomalous results serve as stark warnings for black-box *ab initio* studies of aromatic hydrocarbons, particularly large, polycyclic species for which only limited basis sets are feasible.

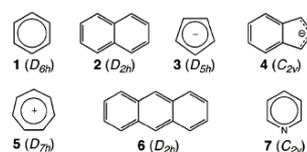
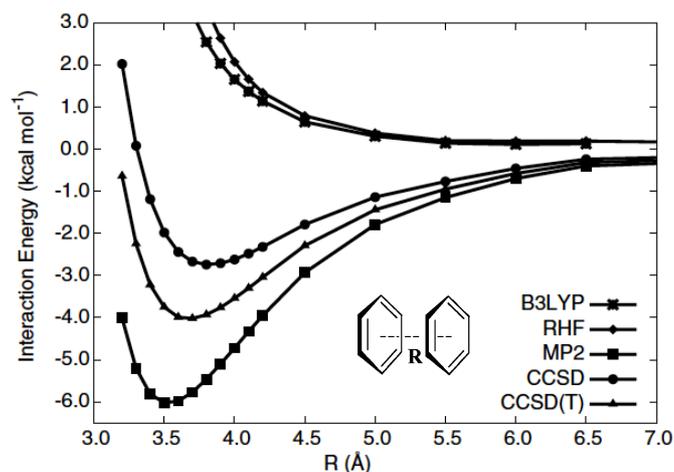


Figure 1. Arene nonplanarity failures of popular *ab initio* methods.

## LEVEL OF THEORY ADEQUATE FOR NONCOVALENT INTERACTIONS



Interaction energy for the sandwich benzene dimer as a function of the intermolecular distance using various methods and the aug-cc-pVDZ basis, with the rigid monomer geometries. Energies not cp corrected.  
(C. David Sherrill, "Computations of Noncovalent  $\pi$  Interactions", *Rev. Comp. Chem.* 2008, Volume 26, 1–30.)

## SPEEDING UP MP2 CALCULATIONS

Second-order Møller-Plesset perturbation theory (MP2) is the computationally least expensive and therefore the most popular *ab initio* electron correlation method. It typically recovers 80-90% of the correlation energy, and scales as  $N^5$  with the system size. There are several approaches to how to speed up once prohibitively slow MP2 calculations.

[Resolution-of-identity](#) approximation (RI-MP2)

[Local correlation](#) approximation (LMP2, LPNO-MP2, DLPNO-MP2)

[Spin-component-scaled](#) (SCS-MP2) and [spin-opposite-scaled](#) (SOS-MP2) approximations

[Explicitly correlated R12](#) and [F12](#) approaches (MP2-R12/F12)

## RESOLUTION-OF-IDENTITY (RI) APPROXIMATION

For a molecule of fixed size, MP2 computational cost is dominated by the integral transformation from the atomic to the molecular orbital basis. In [resolution-of-identity approximation](#) (RI) (or [density fitting](#), DF), this four-index transformation is circumvented by the integral approximation which reduces the required time without significant loss in accuracy. Using [auxiliary basis set](#),  $P$ , method approximates basis functions products  $v\mu$  as

$$v(\mathbf{r})\mu(\mathbf{r}) \approx \sum_i c_{v\mu}^i P_i(\mathbf{r})$$

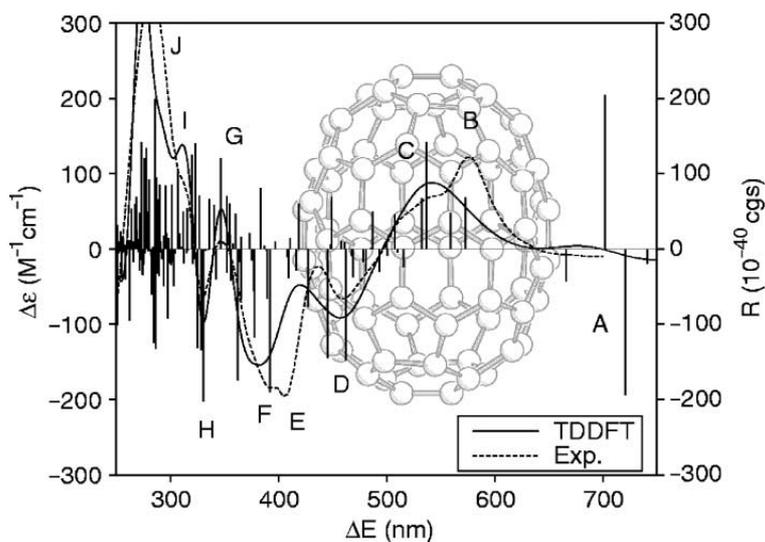
so that the four-index electron repulsion integrals

$$(v\mu|\rho\sigma) = \int \mu(\mathbf{r}_1)v(\mathbf{r}_1)\frac{1}{r_{12}}\rho(\mathbf{r}_2)\sigma(\mathbf{r}_2)d\mathbf{r}_1d\mathbf{r}_2$$

can be approximated by simpler two- and three-index integrals.

For not too large molecules, the RI-MP2 treatment of electron correlation is so efficient that it lasts not much longer than the initial Hartree-Fock calculation, which, by the way, can also be sped up by the resolution of identity approximation (RI-JK).

## RESOLUTION-OF-IDENTITY (RI) APPROXIMATION



Calculated and experimental CD spectra of fullerene  $C_{76}$  (BP86/SVP i RI/J approximation).

## LOCAL CORRELATION APPROXIMATION

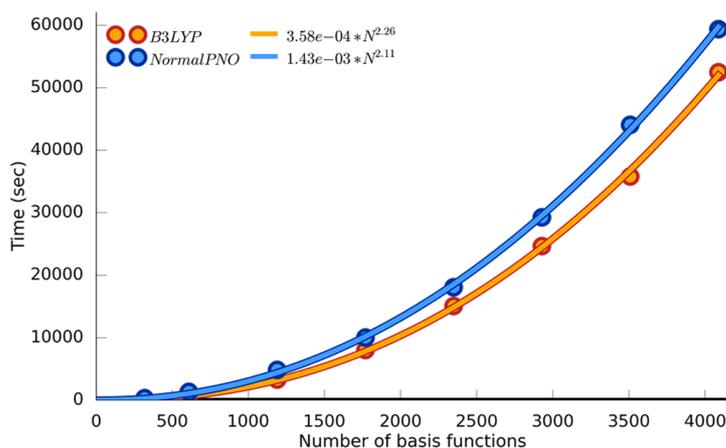
Another way to speed up MP2 computations is to apply the [local approximation](#). As electron repulsion between two products in the integral  $(\mu\nu|\rho\sigma)$  depends inversely on the distance  $r_{12}$  between two electrons, at large distances this interaction can be approximated or neglected. After localizing orbitals, LMP2 method considers only interactions of [electrons in close orbitals](#).

Edmiston and Krauss introduced the concept of [PNOs](#), where the basic idea consists of using set of [natural orbitals](#) (NOs) to correlate each electron pair. This triggered the development of correlation methods based on PNOs.

Program ORCA has two local methods, [LPNO](#) ("local pair natural orbital") and [DLPNO](#) ("domain based LPNO"), designed to provide 'results as close as possible to the canonical coupled-cluster results while gaining orders of magnitude of efficiency' (ORCA 4.1 manual). The DLPNO has linear scaling and is of similar accuracy as older LPNO method.

## LOCAL CORRELATION APPROXIMATION – DLPNO-CCSD(T) METHOD

D. G. Liakos and F. Neese "Is It Possible To Obtain Coupled Cluster Quality Energies at near Density Functional Theory Cost? Domain-Based Local Pair Natural Orbital Coupled Cluster vs Modern Density Functional Theory", *J. Chem. Theory Comput.*, 2015, 11, 4054–4063.



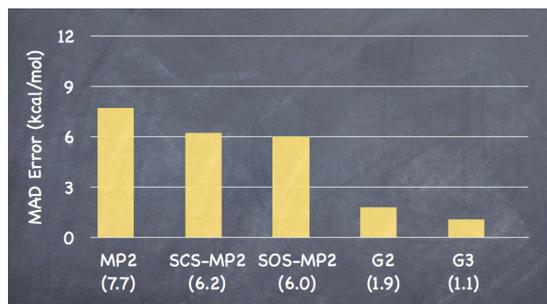
Scaling of the NormalPNO DLPNO-CCSD(T) and B3LYP methods in conjunction with cc-pVTZ for linear alkanes  $C_nH_{2n+2}$  for  $n$  up to 70.

## SCS-MP2 AND SOS-MP2 APPROXIMATIONS

Grimme (2003) introduced different scale factors for the same-spin ( $E_T$ ) and opposite-spin pair ( $E_S$ ) correlation energies, yielding the **spin-component-scaled** (SCS) MP2 method.

$$E_c \approx E_c^{\text{SCS-MP2}} = p_S E_S^{(2)} + p_T E_T^{(2)}$$

Related to the SCS method is Head-Gordon's **spin-opposite-scaled** MP2 (SOS-MP2) method, which completely neglects the same-spin term and scales up the opposite-spin term. SOS-MP2 is order of magnitude faster than MP2 and SCS-MP2 methods.



MAD errors for bond dissociation energies (92 energies)  
(from Q-Chem web site: Y. Jung, 'MP2 and its Variants in Q-Chem')

## EXPLICITLY CORRELATED R12 AND F12 METHODS

One way to accelerate higher correlation methods basis set convergence towards CBS limit it is to employ explicitly correlated methods, in which the electronic wave function depend explicitly on the distances between electrons (Hylleraas, 1929). The first such successful approach is Kutzelnigg's "linear R12" method (1985), in which alongside products of one-electron functions

$$v(\mathbf{r}_1)\mu(\mathbf{r}_2)$$

terms linear in interelectronic distance are also used

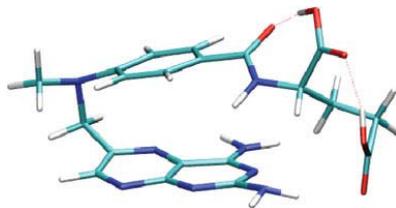
$$\mathbf{r}_{12}v(\mathbf{r}_1)\mu(\mathbf{r}_2)$$

In newer F12 methods, faster convergence to the CBS limit is achieved by replacing the linear R12 terms with more complex functions of the interelectronic distance

$$f(\mathbf{r}_{12})v(\mathbf{r}_1)\mu(\mathbf{r}_2)$$

Today a number variants of F12 approach exist, implemented in various quantum chemistry programs.

## EXPLICITLY CORRELATED R12 AND F12 METHODS



Basis	Size	$\Delta E(\text{MP2})$	%	$\Delta E(\text{MP2-F12})$	%
aug-cc-pVDZ	957	-5.157	76.3	-6.644	98.4
aug-cc-pVTZ	2024	-6.142	90.9	-6.718	99.5
aug-cc-pVQZ	3652	-6.480	95.9	-6.745	99.9
aug-cc-pV5Z	5951	-6.611	97.9		
CBS limit		<b>-6.755</b>			
cc-pVDZ-F12	1188	-5.604	83.0	-6.690	99.0
cc-pVTZ-F12	2145	-6.257	92.6	-6.714	99.4
cc-pVQZ-F12	3619	-6.510	96.4	-6.755	100.0

Correlation energy of methotrexane optimized at MP2/aug-cc-pVDZ level of theory  
(Bachorz et al., "The MP2-F12 Method in the Turbomole Program Package", *J. Comp. Chem.*, 2011, 32(11), 2492.)

## DENSITY FUNCTIONAL THEORY (DFT)

Kohn and Hohenberg proved that the energy of molecular system is uniquely determined by its electron density. Corresponding energy functional has three components: kinetic energy of electrons, nuclear-electron attraction and electron-electron repulsion.

$$E[\rho] = T[\rho] + V_{\text{ne}}[\rho] + V_{\text{ee}}[\rho]$$

Kohn and Sham considered a fictitious system consisting of non-interacting electrons (represented by KS orbitals,  $\varphi_i$ ), which has the same electron density as the real system

$$\rho(\mathbf{r}) = \sum_i^N |\varphi_i(\mathbf{r})|^2$$

For such a system, energy functional can be written as sum of three easily calculated terms, and unknown exchange-correlation term,  $E_{\text{xc}}$

$$E_{\text{DFT}}[\rho] = T_{\text{ni}}[\rho] + E_{\text{ne}}[\rho] + E_{\text{ee}}[\rho] + E_{\text{xc}}[\rho]$$

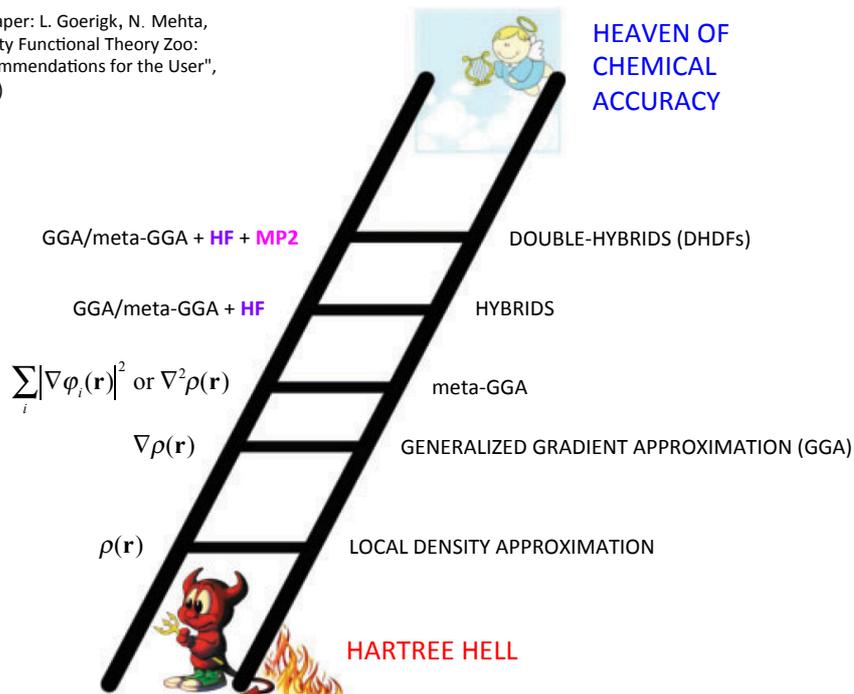
which is usually considered as a sum of two parts, exchange and correlation functionals.

$$E_{\text{xc}}[\rho] = E_{\text{x}}[\rho] + E_{\text{c}}[\rho]$$

A huge number of today existing approximations of exchange-correlation functional (DFAs) poses serious dilemma to non-specialist users.

### JACOB'S LADDER OF DFAs

(Picture from the paper: L. Goerigk, N. Mehta, "A Trip to the Density Functional Theory Zoo: Warnings and Recommendations for the User", *Aust. J. Chem.* 2019)



### Exchange-Correlation Functionals (Q-Chem 5.1 User's Manual)

SPW92 LDA SVWN5 B97-D3(0) B97-D PBE BLYP revPBE BEEF-vdW BOP BP86 BP86VWN BPBE EDF1 EDF2 GAM HCTH93 HCTH120 HCTH147 HCTH407 HLE16 KT1 KT2 KT3 mPW91 N12 OLYP PBEOP PBEsol PW91 RPBE rVV10 SOGGA SOGGA11 VV10 B97M-V B97M-rV M06-L TPSS revTPSS BLOC M11-L mBEEF MGGA\_MS0 MGGA\_MS1 MGGA\_MS2 MGGA\_MVS MN12-L MN15-L oTPSS PKZB SCAN  $\tau$ -HCTH TM VSXC B3LYP PBE0 revPBE0 B97 B1LYP B1PW91 B3LYP5 B3P86 B3PW91 B5050LYP B97-1 B97-2 B97-3 B97-K HFLYP MPW1K MPW1LYP MPW1PBE MPW1PW91 O3LYP PBEh-3c PBE50 SOGGA11-X WC04 WP04 X3LYP M06-2X M08-HX TPSSh revTPSSh B1B95 B3TLAP BB1K BMK d1DF M05 M05-2X M06 M06-HF M08-SO MGGA\_MS2h MGGA\_MVSh MN15 MPW1B95 MPWB1K PW6B95 B95 PWB6K SCAN0  $\tau$ -HCTHh TPSS0  $\omega$ B97X-V  $\omega$ B97X-D3  $\omega$ B97X-D CAM-B3LYP CAM-QTP00 CAM-QTP01 HSE-HJS LC-rVV10 LC-VV10 LC- $\omega$ PBE08 LRC-BOP LRC- $\omega$ PBE LRC- $\omega$ PBEh N12-SX rCAM-B3LYP  $\omega$ B97  $\omega$ B97X  $\omega$ B97X-rV  $\omega$ B97M-V M11  $\omega$ B97M-rV  $\omega$ M05-D  $\omega$ M06-D3 DSD-PBEPBE-D3  $\omega$ B97X-2(LP)  $\omega$ B97X-2(TQZ) XYG3 XYGJ-OS B2PLYP B2GPPLYP DSD-PBEP86-D3 LS1DH-PBE PBE-QIDH PBE0-2 PBE0-DH PTPSS-D3 DSD-PBEB95-D3 PWPB95-D3 SRC1-R1 SRC1-R2 SRC2-R1 SRC2-R2 BR89 B94 B94hyb BR89B94h BRSC MB05 B05 BM05(XC) PSTS MCY2

### Marcel Swart's DFT2018 Pool

#### First Division

B2PLYP  
B3LYP  
B3LYP-D  
B97-D  
B97M-V  
BP86  
CAM-B3LYP  
HSE  
LC- $\omega$ PBE  
M06-2X  
PBE  
PBE-D  
PBE0  
PW91  
PWPB96-D3  
revPBE  
TPSSh  
 $\omega$ B97M-V  
 $\omega$ B97X-D  
 $\omega$ B97X-V

#### Second Division

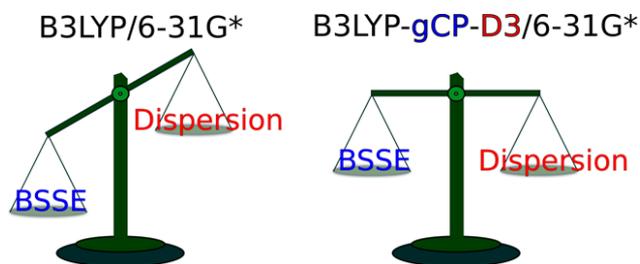
B3PW91  
BHandH  
BLYP  
DSD-BLYP  
DSD-PBEP86  
LC-PBE  
LDA  
M06  
M06-L  
OLYP  
optB88-vdW  
PW6B95  
revTPSS  
revTPSS-D  
RPA  
RPBE  
S12g  
SAOP  
SCAN  
SSB-D

Still used today! →

### IS B3LYP/6-31G\* REALLY COST-EFFECTIVE LEVEL OF THEORY?

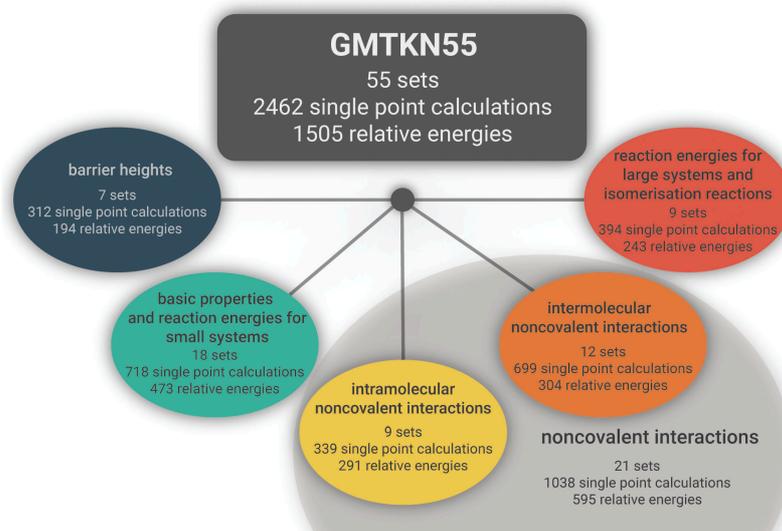
Why the Standard B3LYP/6-31G\* Model Chemistry Should Not Be Used in DFT Calculations of Molecular Thermochemistry: Understanding and Correcting the Problem

Holger Kruse, Lars Goerigk, and Stefan Grimme, *J. Org. Chem.* **2012**, 77 (23), 10824–10834.



Goal: To get (at least) qualitatively correct numbers fast, but for correct reasons!

## DATABASES FOR STATISTICAL EVALUATION (BENCHMARKING) OF DFAs



(GENERAL MAIN GROUP THERMOCHEMISTRY, KINETICS AND NONCOVALENT INTERACTIONS)

## DATABASES FOR STATISTICAL EVALUATION (BENCHMARKING) OF DF(A)s

A number of such databases exists: GMTKN, MGCDB84, Minnesota2015B, DP284, W4-17, ACCDB, to name the most important ones. They offer objective base for validation of new DFAs, as well as for comparison of the existing ones.

Their importance can be illustrated by the fact that Google finds more than 1300 hits for the oldest version of GMTKN database, GMTKN24, 7000 hits for GMTKN30 and 1700 hits for GMTKN55.

Three recent papers that objectively compare the most important DFAs:

N. Mardirossian, M. Head-Gordon, "Thirty years of density functional theory in computational chemistry: an overview and extensive assessment of 200 density functionals", *Molecular Physics*, 2017, 115(19), 2315-2372.

L. Goerigk, A. Hansen, C. Bauer, S. Ehrlich, A. Najibi, S. Grimme, "A look at the density functional theory zoo with the advanced GMTKN55 database for general main group thermochemistry, kinetics and noncovalent interactions", *Phys. Chem. Chem. Phys.*, 2017, 19, 32147-32744.

L. Goerigk, N. Mehta, "A trip to the density functional theory zoo: Warnings and recommendations for the user", *Aust. J. Chem.* 2019, <https://doi.org/10.1071/CH19023>

## WATER CLUSTERS

Obtaining reliable theoretical descriptions of the simplest water clusters is very important for understanding the solvation effects and the complex hydrogen-bonding dynamics in liquid water.

The water dimer has long served as a model for hydrogen-bonding interactions. The global minima for clusters with less than five molecules are characterized by cyclic homodromic hydrogen-bonding networks, *i.e.* each water molecule accepts and donates one hydrogen bond.

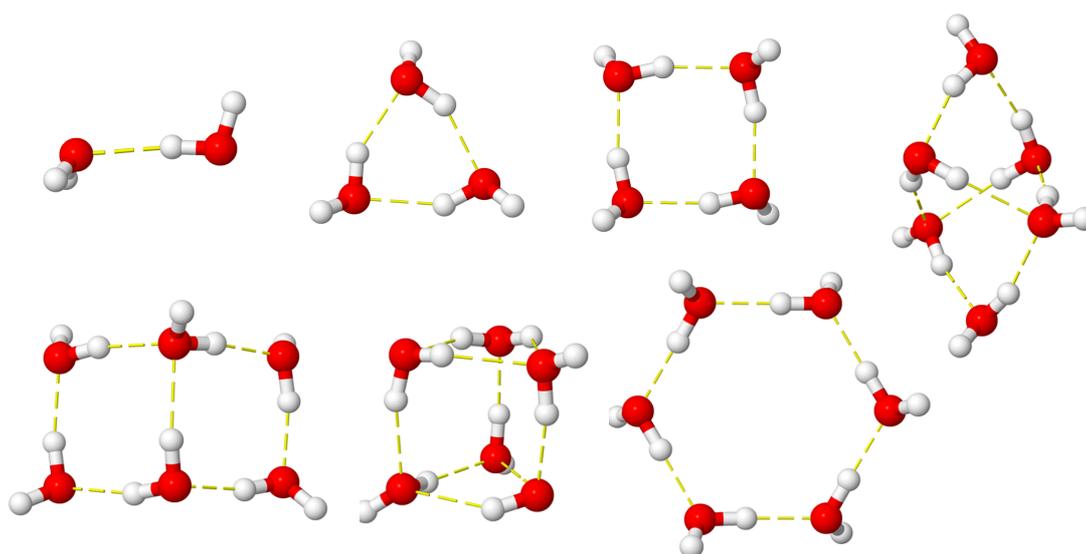
Larger clusters are different, offering insight into hydrogen-bonding cooperativity.

How then to calculate benchmark-quality *ab initio* energies of clusters with six or more molecules?

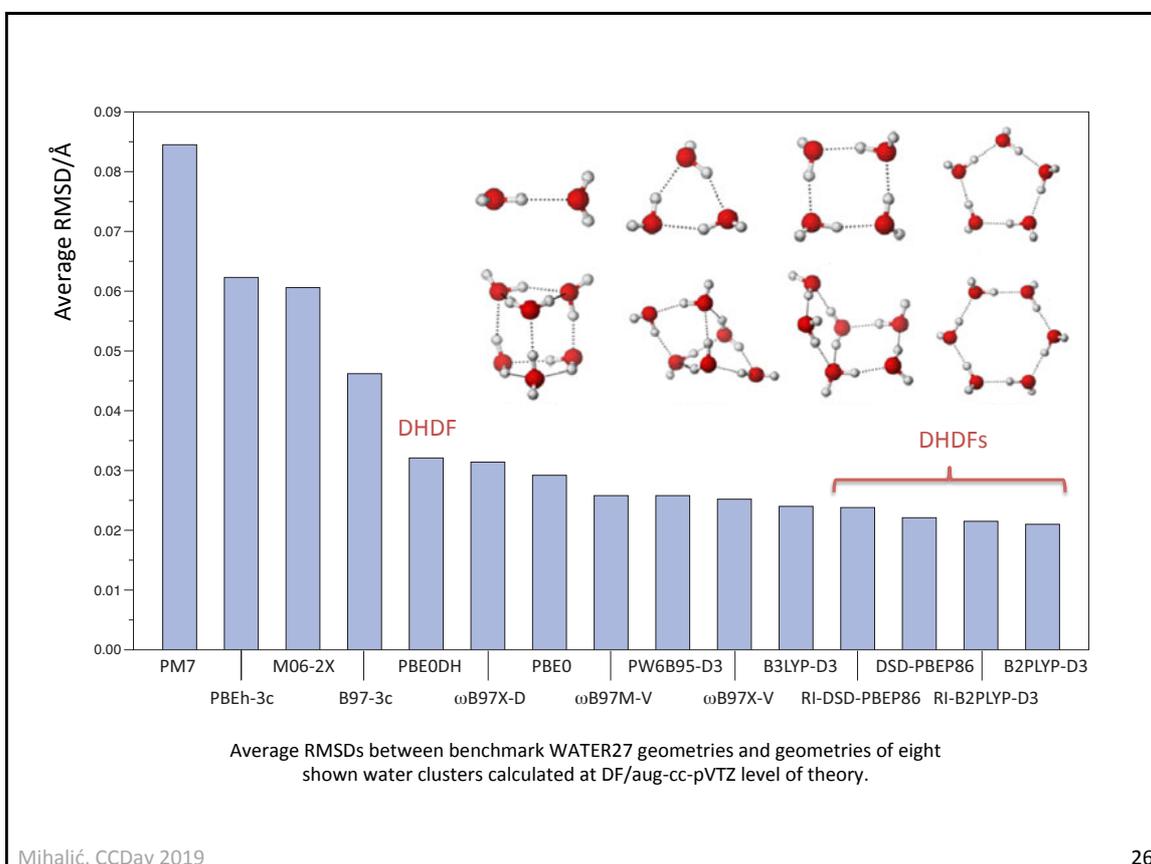
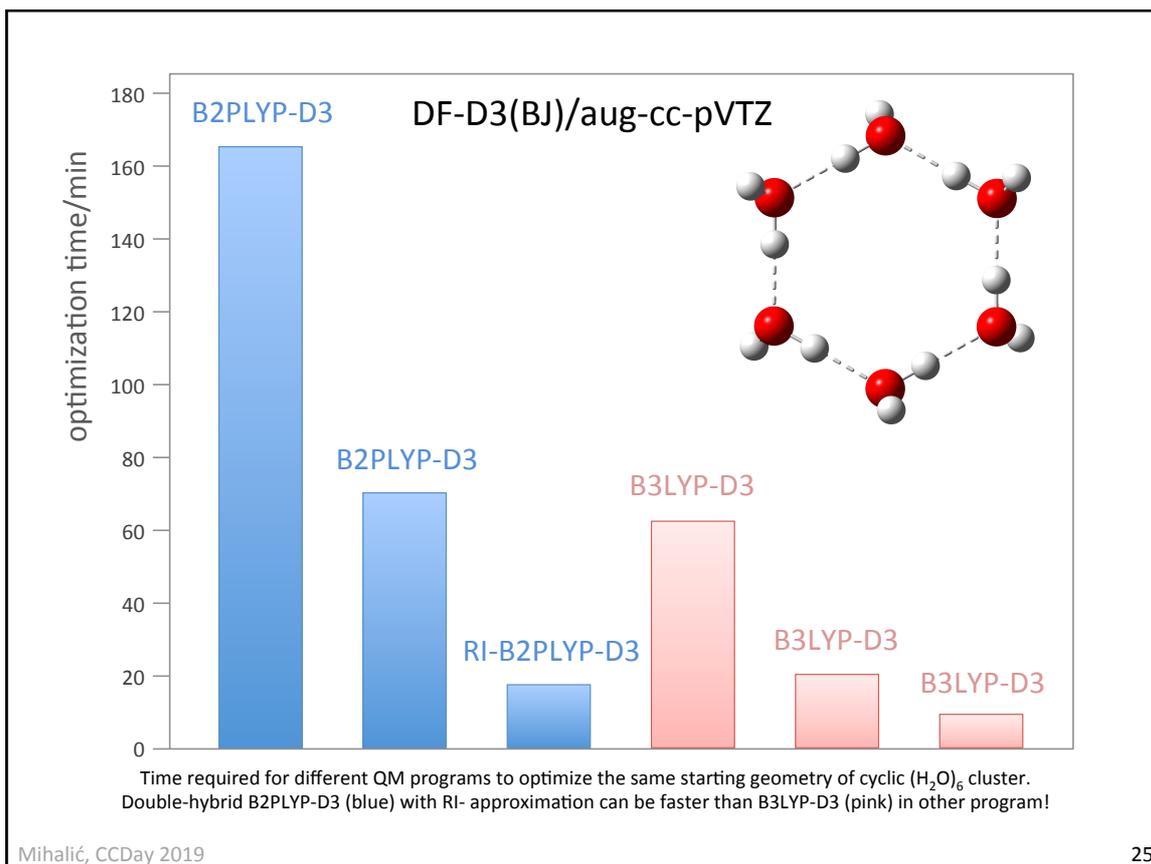
First, one has to find level of theory that produces good geometries. The obvious choice is to use DFT, since geometry optimization of larger clusters on higher correlation levels is hardly an option.

The qualities of geometries obtained at different DFT levels of theory can be judged by comparison with the data from the WATER27 database (part of GMTKN55).

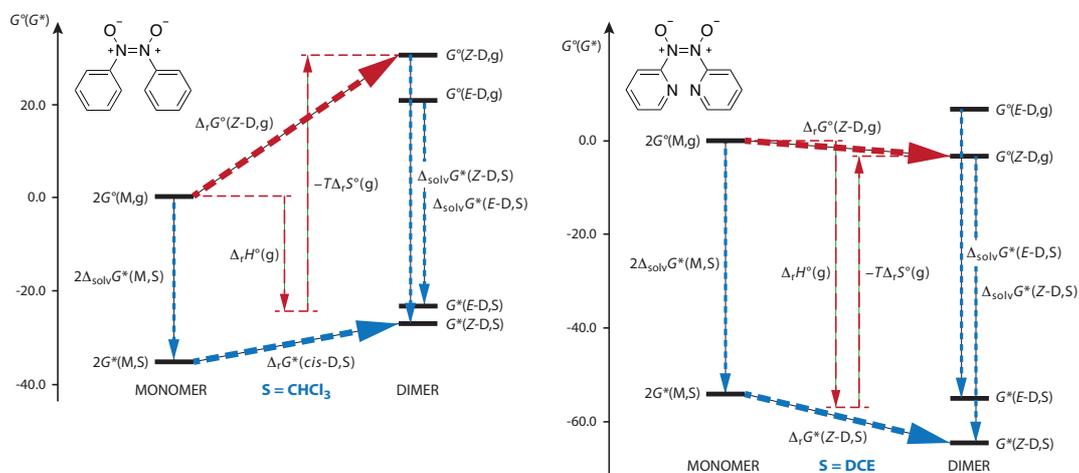
## WATER CLUSTERS



Geometries of water clusters  $(\text{H}_2\text{O})_n$  ( $n = 1-6$ ) were calculated at various DFT levels of theory and compared to corresponding geometries from WATER27 database.

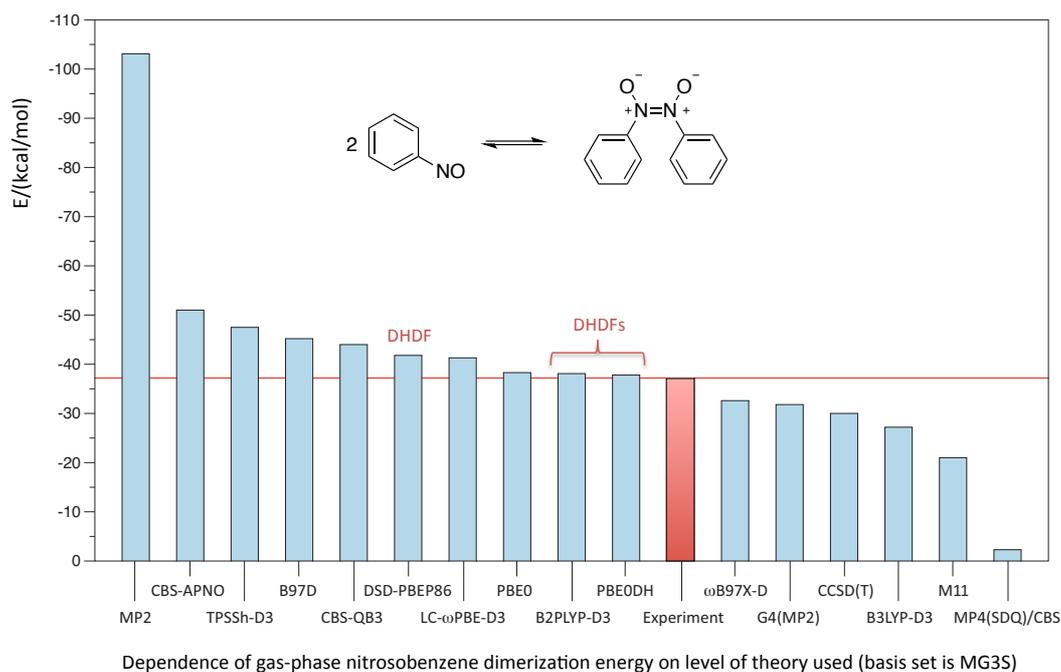


## MONOMER-DIMER EQUILIBRIA OF AROMATIC C-NITROSO COMPOUNDS



K. Varga, I. Biljan, V. Tomišić, Z. Mihalić, H Vančik, "Quantum Chemical Calculations of Monomer-Dimer Equilibria of Aromatic C-Nitroso Compounds ", *J. Phys. Chem A.* 2018, 122(9), 2542-2549.

## MONOMER-DIMER EQUILIBRIA OF AROMATIC C-NITROSO COMPOUNDS



Dependence of gas-phase nitrosobenzene dimerization energy on level of theory used (basis set is MG3S)